

# Capis Trading - Held NMS Stocks and Options Order Routing Public Report

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1st Quarter, 2024

January 2024

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S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)

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January 2024

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Non-S&P 500 Stocks

Summary

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January 2024

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## Options

### Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.00	0.00	100.00	0.00

### Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Wall Street Access (WABR)	51.93	0.00	0.00	51.93	0.00	0.0000	0.0000	0.0000	0.0000	-560.2768	-0.0964	0.0000	0.0000
Bofa Securities, Inc. (MLCO)	48.07	0.00	0.00	48.07	0.00	0.0000	0.0000	0.0000	0.0000	179.1300	0.0273	0.0000	0.0000

### Material Aspects:

Wall Street Access (WABR):

CAPIS does not accept payment for order flow from market makers. However, CAPIS may receive pass-through rebates from certain exchanges for adding liquidity. These rebates may offset charges for removing liquidity and may exceed the amount of fees charged.

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## February 2024

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## S&P 500 Stocks

### Summary

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0.00	0.00	0.00	0.00	0.00

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## February 2024

## Non-S&P 500 Stocks

### Summary

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## February 2024

### Options

#### Summary

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Bofa Securities, Inc. (MLCO)	63.15	0.00	0.00	63.15	0.00	0.0000	0.0000	0.0000	0.0000	1,062.4100	0.1367	0.0000	0.0000
Wall Street Access (WABR)	36.85	0.00	0.00	36.85	0.00	0.0000	0.0000	0.0000	0.0000	-1,646.4411	-0.1107	0.0000	0.0000

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## March 2024

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### S&P 500 Stocks

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## March 2024

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### Non-S&P 500 Stocks

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## March 2024

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### Options

#### Summary

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Wall Street Access (WABR)	64.18	0.00	0.00	64.18	0.00	0.0000	0.0000	0.0000	0.0000	-257.9120	-0.0626	0.0000	0.0000
Bofa Securities, Inc. (MLCO)	35.82	0.00	0.00	35.82	0.00	0.0000	0.0000	0.0000	0.0000	27.9900	0.0063	0.0000	0.0000

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