

Capis Trading - Held NMS Stocks and Options Order Routing Public Report

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1st Quarter, 2024

January 2024

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)

January 2024

Non-S&P 500 Stocks

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January 2024

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.00	0.00	100.00	0.00

Venues

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Wall Street Access (WABR)	51.93	0.00	0.00	51.93	0.00	0.0000	0.0000	0.0000	0.0000	560.2768	0.0964	0.0000	0.0000
Bofa Securities, Inc. (MLCO)	48.07	0.00	0.00	48.07	0.00	0.0000	0.0000	0.0000	0.0000	179.1300	0.0273	0.0000	0.0000

Material Aspects:

Wall Street Access (WABR):

CAPIS does not accept payment for order flow from market makers. However, CAPIS may receive pass-through rebates from certain exchanges for adding liquidity. These rebates may offset charges for removing liquidity and may exceed the amount of fees charged.

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February 2024

S&P 500 Stocks

Summary

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Venues

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February 2024

Non-S&P 500 Stocks

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February 2024

Options

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Bofa Securities, Inc. (MLCO)	63.15	0.00	0.00	63.15	0.00	0.0000	0.0000	0.0000	0.0000	1,062.4100	0.1367	0.0000	0.0000
Wall Street Access (WABR)	36.85	0.00	0.00	36.85	0.00	0.0000	0.0000	0.0000	0.0000	1,646.4411	0.1107	0.0000	0.0000

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March 2024

S&P 500 Stocks

Summary

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March 2024

Non-S&P 500 Stocks

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March 2024

Options

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Wall Street Access (WABR)	64.18	0.00	0.00	64.18	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Bofa Securities, Inc. (MLCO)	35.82	0.00	0.00	35.82	0.00	0.0000	0.0000	0.0000	0.0000	27.9900	0.0063	0.0000	0.0000

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