



Derivatives Trading

Flexible Alternatives

Finding ways to improve investment returns is a key objective for money managers. As such, institutions have increasingly turned to derivatives. CAPIS offers futures and options trading – providing enhanced insight into the market, guidance on trading strategies, innovative trading technology, and expert execution.

Full Service Agency Trading Desk

Since 1977, CAPIS has been a leader in agency trading. As an independent agency broker, we do not engage in proprietary trading, nor do we produce proprietary research. We are your unbiased trading partner - our focus is solely on you.

CAPIS provides transparent anonymous execution in a range of futures and option markets including:

- Interest Rates/Fixed Income
- FX
- Equities/Equity Index
- Non-dollar Futures/Options
- Metals
- Energy

Highlights

High-touch; Customer Focused
Extensive Trading Desk Experience
Direct Access to Floor
Non Proprietary Desk Trading
Independent

Trading Capabilities

- Access to all major global futures exchanges and US options markets
- Access to CBOT, CME, and CBOE floor brokers
- Access to off-floor liquidity
- FLEX Options trading capability
- CBOT floor broker experience on the desk
- Customized hedging solutions and structured strategy modeling
- Equity options trading platform available for electronic order routing
- Algorithmic trading

Trading Options for Research Credit

CAPIS is a pioneer in providing research credit through soft dollar and commission management programs. At a time when trading commissions are increasingly squeezed, we offer the ability to use options trades to generate the credit you need to purchase eligible research services under Section 28(e). Managers can complement their equity and fixed income trading research credit with the addition of options trading.

Commodity futures products and options products involve substantial risk of loss and are not suitable for all customers. At or before the time a customer first opens a futures trading account, a customer must receive a copy of the Risk Disclosure Statement for Futures Contracts. Prior to buying or selling options, a customer must receive a copy of Characteristics and Risks of Standardized Options. Copies of these documents may be obtained by contacting Capital Institutional Services, Inc., 1700 Pacific Avenue, Suite 1100, Dallas, TX 75201, 214-978-4751.

TRADING | TRANSPARENCY | TRUST

For more information contact Jay T. Vanerstrom , VP, Manager
Derivatives Trading at 800.527.5756 or jvanerstrom@capis.com

1700 Pacific Avenue, Suite 1100, Dallas, Texas 75201
800-247-6729 | capis.com | Member: NYSE, FINRA, NFA, SIPC