



Bank and Trust Services

Quality Executions

CAPIS was founded in 1977 with one focus - providing institutional investors with quality execution and superior client service.

As one of the few independent, agency brokers in the industry, we combine a dedication to superior client service with the latest in sophisticated trading technologies. With our electronic connections to the major trust accounting systems, we provide a direct straight through processing solution to help trust departments manage their trading more efficiently.

Execution Partner

As your execution partner, CAPIS will:

- Perform conflict-free executions
- Dedicate a senior trader as a single point of contact for all executions
- Provide third-party transaction cost analysis (TCA) and national best bid or offer (NBBO) analysis designed to meet your best execution audit reporting obligations

Highlights

Agency Broker
Earn Research Credit
Flexible Solutions
High-Touch Support

Trading Capabilities

- Global Equities 24/6 with direct straight through processing to multiple Trust Accounting Systems
- Fixed Income (USD)
- Options
- Program Trading
- Algorithmic Trading

Trading and Operations Support

CAPIS trading and operations support include:

- Commission Management
- Compliance Assistance
- Settlement and Clearing Support

TRADING | TRANSPARENCY | TRUST

For more information contact Jon Lantz, SVP, Director of Bank and Trust Services at 214.978.4778 or jlantz@capis.com

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