



# Transition Management Provides for Making Portfolio Changes to Asset Allocation

## Agency-only Trade Execution and Dedicated Project Management

At CAPIS, we offer full-scale Transition Management for multi-asset and multi-vehicle events on risk management, project management, and trade execution. Our clients value and appreciate our commitment to superior execution, strong historic performance, focus on true transparency during the transition, and a clear focus on the risks inherent with each event.

### What is CAPIS TM?

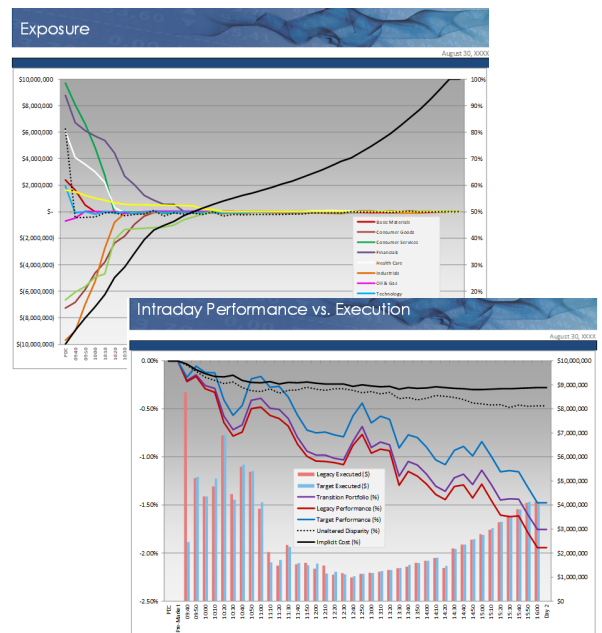
**CAPIS Transition Management (TM)** provides project management and trade execution for clients making portfolio changes to their asset allocations.

### Why Use CAPIS TM?

- **Agency brokerage:** No proprietary trading. Your interests are our priority. And, as an independent broker, we provide the anonymity needed to reduce information leakage and minimize market impact.
- **Proprietary analytics:** Our pre-trade evaluates the fundamentals of each trade, including potential risks and the estimated cost range of the event. During and after the event, we provide comprehensive and transparent reporting to validate the cost and execution strategy.
- **Customized execution strategies:** Each event and each client have unique requirements. Our model allows maximum flexibility in developing and implementing a trade strategy that reduces risk and achieves target allocation in an efficient manner.
- **Project management:** We provide a single point of contact and expertise throughout each event. Our approach reduces a client's workload and risk while providing comprehensive oversight.

### Highlights

- Reporting and Event Transparency
- Deep Market Expertise
- Single-source, High-touch oversight
- Full Project Management



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**Integrity • Execution • Transparency**  
*The CAPIS Difference*